Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/GB05/000367

International filing date: 03 February 2005 (03.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: GB

Number: 0402323.0

Filing date: 03 February 2004 (03.02.2004)

Date of receipt at the International Bureau: 08 April 2005 (08.04.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)



LI/UD LUW . Lug





GB05/367



INVESTOR IN PEOPLE

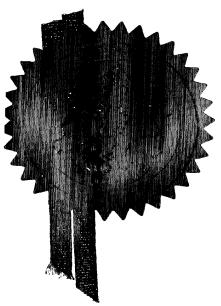
The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Andrew Gensey

Dated

10 March 2005

Patents Form 1/77
Patents Act 1977

(Rule 16)



04FEB04 E870362-1 D02917_____ P01/7700 0.00-0402323.0 NONE

Request for grant of a patent

The Patent Office
Cardiff Road
Newport
South Wales NP10 8QQ

1.	Your reference 1908601/AM		
2.	Patent Application Number 040232		
3.	Full name, address and postcode of the or of each applicant (underline all surnames)		
	Sphere Medical Limited Harston Mill Harston Cambridgeshire CB2 5GG	3606295001	
	Patents ADP number (if known) If the applicant is a corporate body, give the country/state of its incorporation	Country: England State:	
4.	Title of the invention		
	Measurement of Analytes in Gas and/or breath		
5.	Name of agent "Address for Service" in the United Kingdom to which all correspondence should be sent	Beresford & Co 16 High Holborn London WC1V 6BX	
	Patents ADP number	[82600]	
6.	Priority: Complete this section if you are declaring applications filed in the last 12 months.	ng priority from one or more earmer patent	

Patents Form 1/77

Divisionals, etc: Complete this section only if this application is a divisional application or res from an entitlement dispute.		
Number of earlier application Date of filing		
Is a Patents Form 7/77 (Statement of inventorship and of right to grant of a patent) required support of this request?		
Enter the number of sheets for any of the following items you are filing with this form.		
Continuation sheets of this form		
Description	4	
Claim(s)		
Abstract	\mathcal{O}	
Drawing(s)	•	
If you are also filing any of the following, state how many against each item.		
Priority documents		
Translations of priority documents		
Statement of inventorship and right to grant of a patent (Patents form 7/77)	1 + 3 copies/	
Request for preliminary examination and search (Patents Form 9/77)	,	
Request for Substantive Examination (Patents Form 10/77)		
Any other documents (please specify)		
I/We request the grant of a patent on the basis of this application		
	3 February 2004	
BERESFORD & Co		
Name and daytime telephone number of MACD	OUGALL; Alan John Shaw	
	Is a Patents Form 7/77 (Statement of inventorship and of right to g support of this request? Enter the number of sheets for any of the following items you are filing Continuation sheets of this form Description Claim(s) Abstract Drawing(s) If you are also filing any of the following, state how many against each Priority documents Translations of priority documents Statement of inventorship and right to grant of a patent (Patents form 7/77) Request for preliminary examination and search (Patents Form 9/77) Request for Substantive Examination (Patents Form 10/77) Any other documents (please specify) I/We request the grant of a patent on the basis of this application Signature BERESFORD & Co	

Patent Disclosure

Measurement of Analytes in Gas and/or Breath

S. Hendry, P. Laitenberger, G. Troughton

Sphere Medical Limited
Harston Mill
Harston
Cambridge
CB2 5GG

Introduction

When seeking to measure the concentration of various analytes in the mammalian body a number of different physiological fluids are typically sampled. For the vast majority of routine clinical measurements, for example for glucose, blood is the preferred fluid, as in the majority of cases this provides the most clinically relevant measure. However, analytical regimes that require blood (or plasma) samples, whether they are capillary, arterial, or venous require by definition that the skin is punctured to gain access to the sample. This introduces a number of issues, pain associated with sampling, risk of infection both to the patient and potentially to the caregiver, cost, etc.

Hence, sampling of a vast number of different fluids has been used to avoid the need to puncture the skin. These can be divided into two principal categories, non-invasive and minimally invasive. Most of the non-invasive methods involve irradiation (using various wavelengths) of the skin or body and inferring the concentration of the analyte of interest from the resultant modulated signal. With the possible exception of pulse oximetry for the measurement of oxygen saturation, these systems generally have insufficient performance for clinical or practical use. Minimally invasive techniques for sampling abound and include the use of such fluids as urine, saliva, sweat and tears. Such samples have the general characteristics of exhibiting attenuation in concentration and delay in changes in concentration in respect to the blood and often represent an integration of the blood concentration over some period. Whilst such samples are wholly adequate for some purposes, many applications require a more accurate and more current estimate of the prevailing blood concentration of the analyte of interest.

One fluid that has not been used greatly on a routine basis for estimation of blood concentration of analytes is the exhaled breath. A few notable exceptions do exist such as the "breathalyser" for estimation of the alcohol concentration in blood and the estimation of arterial carbon dioxide concentration by measuring the absorption of infra red irradiation using a technique called "end-tidal CO2 measurement".

Measurement of breath borne analytes has the advantages of the breath concentration being closely related to the blood concentration at the alveoli and has the potential to measure rapid changes in real time. The limitations are fairly significant such as the

C:\Documents and Settings\amacdougall\Local Settings\Temp\Temporary Directory 3 for patents bf.zip\Measurement of breath borne compounds.doc

concentration of most analytes of interest in the breath are extremely low, certain metabolites such as glucose are effectively absent, as they cannot pass across the alveoli.

Measurement of certain drugs and analytes of clinical interest that are currently either not measured or the sampling system utilises an invasive methodology could, potentially, be measured in the exhaled breath by an appropriate sensing system.

Concept

It is proposed that the concentration of an analyte of interest, one example being the intravenous anaesthetic propofol, can be measured directly in exhaled breath using a chemical sensor placed in or near the airway.

For clinical use the sensor would ideally be a microsensor placed into the endotrachael tube, anaesthetic circuit or ventilator circuit. The microsensor could operate in a number of physicochemical principles, including, but not limited to, amperometric, potentiometric, gravimetric, thermal or conductimetric. Additionally, as propofol is known to fluoresce an optical sensor such as a fibre optic device, a suitable detector, an optically coupled chip or by measurement non-invasively by transmitted or reflected light could also be employed.

Further purification and concentration of the drug can be achieved *in situ* by encapsulating or covering the sensing elements in a material, solid or liquid, into which propofol preferentially partitions over the medium it is in.

Specific recognition molecules may be found or more likely designed and fabricated such as molecularly imprinted polymers, other synthetic or artificially created receptors that have a high binding affinity for the analyte of interest, i.e., preferentially bind the molecule of interest such as propofol.

The binding of the analyte molecule can be a direct concentration step allowing detection by a number of means, optical, electrochemical, conductimetric, gravimetric or spectroscopic, to name but a few. It is also possible that the specific binding event causes a physico-chemical change detectable by a standard sensor transduction technique such as, but not limited to, potentiometry, amperometry, conductimetry, and spectroscopy, chromatography, capacitance and micro-balances, resonant sensors, thermal methods and calorimetry.

By simultaneously measuring concentrations of the analyte of interest in other tissues, fluids or body compartments it is possible to determine the kinetic profile of analytes within the body. Potentially, an extremely useful approach would be to measure either separately or simultaneously related metabolites of the analyte of interest to give information on the physiological passage/pharmacokinetics of the analyte.

Information derived from such a sensing system could be used to provide the input for closed-loop drug administration when coupled with the appropriate administration device and control algorithm.

C:\Documents and Settings\amacdougall\Local Settings\Temp\Temporary Directory 3 for patents bf.zip\Measurement of breath borne compounds.doc

Additionally, it may be advantageous to agitate and/or oscillate the exhaled air in the artificial airway to aid diffusion of the analytes of interest from the alveolus and facilitate greater concentration of the analyte at the sensor.

One potential embodiment of the invention.

Using these general ideas a sensor for the specific measurement of the intravenous anaesthetic propofol and/or its metabolites in exhaled breath is envisaged, see Figure 1

A microsensor, substantially fabricated from silicon, uses a synthetic receptor i.e., a material designed and fabricated to bind the propofol and/or its derivatives, a non-aqueous fluid over the sensor to concentrate the propofol and/or its derivatives in the exhaled breath. The propofol and its derivatives in the breath preferentially partition into the fluid covering the microsensor and diffuse towards the MIP. The molecularly imprinted polymer binds the analyte causing a physiochemical change that is detected by the transducer which in turn creates an electronic signal proportional to the concentration of the analyte present.

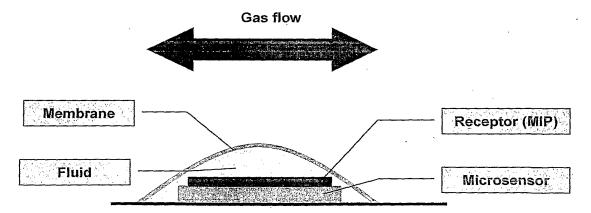


Figure 1. Concept sensor

In addition to single parameter measurements multi-parametric analysis of breath borne analytes could prove useful, for example to facilitate concurrent analysis of:

- · The analyte of interest and its metabolic derivatives
- Analyte of interest and interfering substances
- Analyte and background signal
- · Any combination of the above

C:\Documents and Settings\amacdougall\Local Settings\Temp\Temporary Directory 3 for patents bf.zip\Measurement of breath borne compounds.doc

Thus, it would be possible to compensate for various potential causes of potential sensor inaccuracy, drift, specificity, etc as well as gaining a more complete view of the status of the patient.

One particular example of a silicon-based microsensor chip with multiple chemical sensor elements is shown in Figure 2. However, the invention is not limited to multiparametric micromachined chemical sensors and can employ a wide range of other microscopic and macroscopic sensors.

The sensor elements may for example employ electrochemical (e.g. potentiometric, in particular ISFETs (ion-sensitive field effect transistors) or amperometric), conductimetric, optical, gravimetric, surface-acoustic waves, resonant or thermal principles.

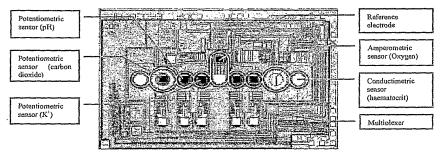


Figure 2: Example of a multi-parameter chemical sensor chip developed by Sphere Medical Ltd.

One method by which to functionalise the different transducers on the multi-parametric chip is by the use of pool-like structures on the device substrate around one or more of the transducers. The structures may be circular or of any general shape which will be suited to the application in hand. In general, the shape of the structures will be chosen to suit the size and shape of the transducers. These structures would act to contain the mixture of some or all of the reagents that will be used to create the synthetic receptor(s), and/or the partitioning material and/or membrane of the device or sensor.

C:\Documents and Settings\amacdougall\Local Settings\Temp\Temporary Directory 3 for patents bf.zip\Measurement of breath borne compounds.doc